

# THE CATANESE-CILIBERTO-MENDES LOPES SURFACE

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ABSTRACT. We draw a handlebody picture of the complex surface defined by Catanese-Ciliberto-Mendes Lopes. This is a surface obtained by taking the quotient of the product of surfaces  $\Sigma_2 \times \Sigma_3$  of genus 2 and 3, under the product of involutions  $\tau_2 \times \tau_3$ , where  $\tau_2$  is the elliptic involution of  $\Sigma_2$ , and  $\tau_3$  is a free involution on  $\Sigma_3$ .

## 0. INTRODUCTION

*Catanese-Ciliberto-Mendes Lopes* surface (CaCiMe surface in short)  $M$  is a complex surface constructed in [CCM] (and discussed in [HP] and [P]), which is topologically a genus 2 surface bundle over a surface of genus 2. Recently in [AP] this surface is used in interesting smooth manifold constructions. While inspecting [AP] we felt that this interesting complex surface  $M$  deserves a careful topological study of its own. Generally, drawing handlebody pictures of circle bundles over 3-manifolds, or 3-manifold bundles over circles is relatively easy compare to surface bundles of surfaces (e.g.[AK] and [A1]). Here we take this opportunity demonstrate a technique to draw a surface bundle over a surface.  $M$  is a good test case to understand many of the general difficulties one encounters in drawing surface bundles over surfaces, as well as taking their fiber sum. We draw the handlebody picture of  $M$  in such a way that all the tories used in the constructions of [AP] are clearly visible. This combined with the log transform picture (e.g. [AY]) will allow one to see the “Lutinger surgery” constructions of [AP] in a concrete geometric way. We would like to thank Anar Akhmedov for introducing us to this complex surface, and explaining [AP].

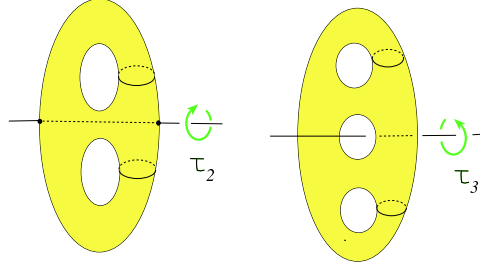
## 1. CONSTRUCTION

Let  $\Sigma_g$  denote the surface of genus  $g$ . Let  $\tau_2 : \Sigma_2 \rightarrow \Sigma_2$  be the hyper-elliptic involution and  $\tau_3 : \Sigma_3 \rightarrow \Sigma_3$  be the free involution induced by  $180^\circ$  rotation. The CaCiMe surface  $M$  is the complex surface obtained by taking the quotient of  $\Sigma_2 \times \Sigma_3$  by the product involution:

$$M = (\Sigma_2 \times \Sigma_3) / \tau_2 \times \tau_3$$

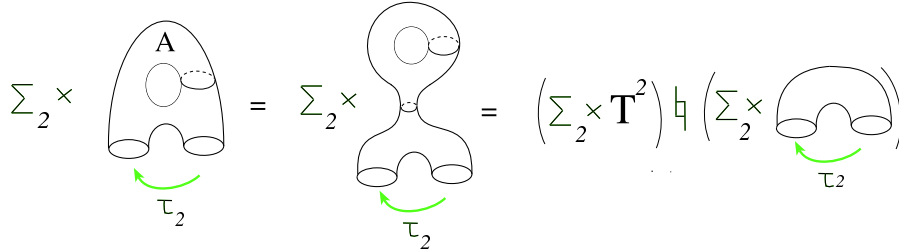
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FIGURE 1. Involutions  $\tau_2$  and  $\tau_3$ 

By projecting to the second factor we can describe  $M$  as a  $\Sigma_2$ -bundle over  $\Sigma_2 = \Sigma_3/\tau_3$ . Let  $A$  denote the twice punctured 2-torus  $A = T^2 - D_-^2 \sqcup D_+^2$ . Then clearly  $M$  is obtained by identifying the two boundary components of  $\Sigma_2 \times A$  by the involution induced by  $\tau_2$  (notice  $A$  is a fundamental domain of the action  $\tau_3$ ). By deforming  $A$  as in Figure 2, we see that  $M = E \natural E'$  is obtained by fiber summing two  $\Sigma_2$  bundles over  $T^2$ , where  $E$  is the trivial bundle  $\Sigma_2 \times T^2 \rightarrow T^2$ , and

$$E' = \Sigma_2 \times S^1 \times [0, 1]/(x, y, 0) \sim (\tau_2(x), y, 1)$$

FIGURE 2. Decomposing  $M = E \natural E'$ 

We will build a handlebody of  $M$  by a step by step process drawing the following handlebodies in the given order, also we will concretely identifying the indicated diffeomorphisms:

- (a)  $E_0 := E - \Sigma_2 \times D^2 = \Sigma_2 \times (T^2 - D^2)$
- (b)  $E'_0 = E' - \Sigma_2 \times D^2$
- (c)  $f_1 : \partial E_0 \xrightarrow{\cong} \Sigma_2 \times S^1$
- (d)  $f_2 : \partial E'_0 \xrightarrow{\cong} \Sigma_2 \times S^1$
- (e)  $M = -E_0 \smile_{f_2^{-1} \circ f_1} E'_0$

One way to perform the gluing operation (e) is to turn the handlebody  $E_0$  upside down and attach its dual handlebody to top of  $E'_0$ , getting  $M = -E_0 \smile E'_0$  (e.g. the technique used in [A1]). In this paper we choose another way which amounts to identifying the boundaries of the two handlebodies  $-E_0$  and  $E'_0$  by a cylinder  $\partial E_0 \times I$

$$M = -E_0 \smile_{f_1} (\Sigma_2 \times S^1) \times I \smile_{f_2^{-1}} E'_0$$

Though this seems a trivial distinction, it makes a big difference in constructing the handlebodies. One advantage of this technique is that we see the imbedded tories used in the construction of [AP] clearly.

## 2. CONSTRUCTING $E_0$

Clearly Figure 3 describes a handlebody for  $\Sigma_2$ , and Figure 4 is  $\Sigma_2 \times [0, 1]$ . Hence Figure 5 is a handlebody of  $\Sigma_2 \times S^1$  (compare [AK]). Figure 6 is the same as Figure 5, except it is drawn as a Heegard picture. So Figure 7 describes a handlebody picture of  $\Sigma_2 \times S^1 \times S^1 = \Sigma_2 \times T^2$ . A close inspection shows that removing the 2-handle, denoted by  $c$ , from Figure 7 gives the handlebody of  $E_0$  ( $c$  is the disk boundary in  $T^2 - D^2$ , as the attaching circle of the 2-handle corresponding to  $D^2$ ).

Next in Figures 8 through 11 we gradually convert the “pair of balls” notation of 1-handles to the “circle-with-dot” notation of [A2] (i.e. carving). Figure 11 is the same as Figure 7, except all of its 1-handles are drawn in circle-with-dot notation. For the benefit of the reader we did this transition in several steps: First in Figure 8 we converted a pair of 1-handles of Figure 7 to the circle-with-dot notation, then in Figure 11 converted the remaining 1-handles. Figure 9 shows how to perform local isotopies near the attaching balls of 1-handles to go to intermediate picture Figure 10 where the attaching balls are drawn as flat arcs. We then converted the flat arcs to the circle-with dots. In Figure 11 all the 2-handles are attached with 0-framing.

## 3. DIFFEOMORPHISM $f_1 : \partial E_0 \rightarrow \Sigma_2 \times S^1$

Next we construct a diffeomorphism  $f_1 : \partial E_0 \approx \Sigma_2 \times S^1$ . First by an isotopy we go from Figure 11 to Figure 12, then by replacing the circles with dots with 0-framed circles, and by performing the handle slides to Figure 12 as indicated by the arrows we obtain the first picture of Figure 13, and by further handle slides and cancellations we obtain the second picture of Figure 13, which is  $\Sigma_2 \times D^2$ . In the Figure 13 we also indicate where this diffeomorphism throws the linking loops

$a_1, b_1, a_2, b_2, c$ . Finally in Figure 14 we describe the diffeomorphism we constructed  $f_1 : \partial E_0 \rightarrow \Sigma_2 \times S^1$  in a much more concrete way by indicating the images of the arcs shown in the figure. Though going from Figure 11 to Figure 14 is locally a routine process, finding the correct handle sliding moves and locating and keeping track of those arcs have been the most time consuming part of this work.

#### 4. CONSTRUCTING $E'_0$ AND $f_2 : \partial E'_0 \rightarrow \Sigma_2 \times S^1$

Figure 16 shows that the diffeomorphism  $\tau_2 : \Sigma_2 \rightarrow \Sigma_2$  is induced from 180° rotation of the disk with four 1-handles. Having noted this, we proceed exactly as Figure 7 through Figure 11, except that we replace Figure 7 by Figure 17 (due to twisting by  $\tau_2 : \Sigma_2 \rightarrow \Sigma_2$ ). So Figure 20 is the handlebody of  $E'_0$  (without the curve denoted by  $c'$ ), and Figure 22 describes a diffeomorphism  $f_2 : \partial E'_0 \rightarrow \Sigma_2 \times S^1$

#### 5. CONSTRUCTING $M = -E_0 \smile E'_0$

To construct  $M = -E_0 \smile E'_0$  we draw the handlebodies  $-E_0$  and  $E'_0$  side by side, and glue their boundaries to the two boundary components of the cylinder  $\Sigma_2 \times S^1 \times I$ . This gluing is done by identifying 1-handle circles  $\{a_1, b_1, a_2, b_2, c\}$  (of Figure 13) of  $\partial E_0 \approx \Sigma_2 \times S^1$  and of  $\partial E'_0 \approx \Sigma_2 \times S^1$  by 2-handles. Now Figure 14 and Figure 22 gives us exactly the information needed to draw the CaCiMe surface  $M = -E_0 \smile_{f_2^{-1} \circ f_1} E'_0$  as shown in Figure 23 (all the circles are 0-framed 2-handles).

#### 6. EPILOGUE

Cacime surface has its own place in the classification scheme of complex surfaces, as stated in the following theorem:

**Theorem 1.** (*Hacon-Pardini, Pirola*) *If  $X$  is a smooth minimal complex projective surface of general type with  $p_g(X) = q(X) = 3$ , then one of following hold:*

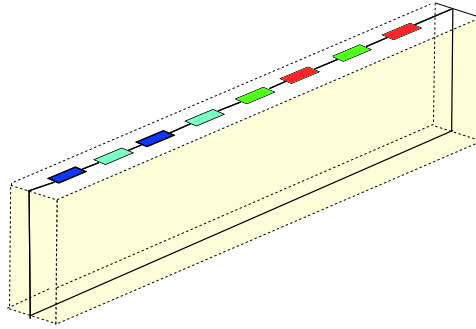
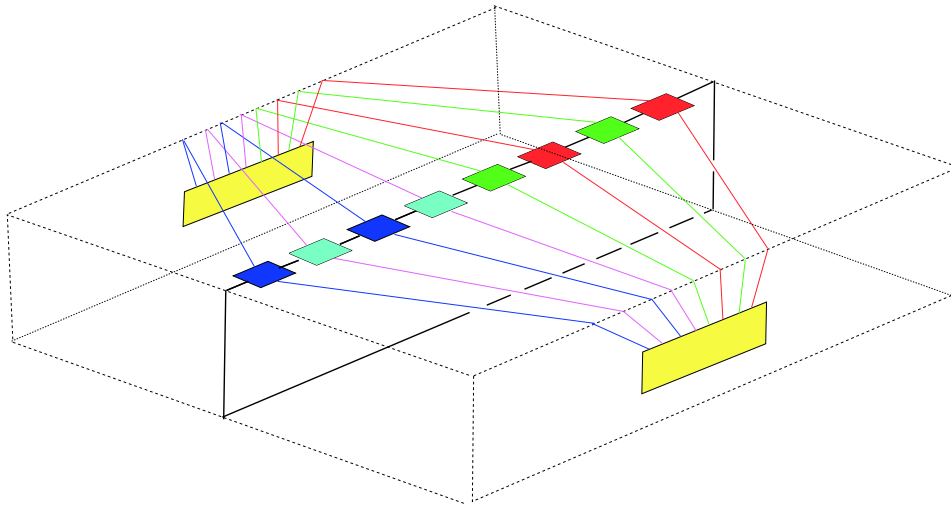
- (a)  $K_X^2 = 6$  and  $X = \text{Sym}^2(\Sigma_3)$
- (b)  $K_X^2 = 8$  and  $X = \text{Cacime surface}$ .

Recall  $b_1(X) = 2q(X)$ ,  $K_X = c_1(X)$  and  $3\sigma(X) = c_1^2(X) - 2\chi(X)$ , Noether formula:  $1 - q(X) + p_g(X) = \frac{1}{12} [ c_1^2(X) + c_2(X) ] \Rightarrow$  So if (b) holds  $b_2(X) = 14$  and  $\sigma(X) = 0$  So the Cacime surface  $X$  is homology equivalent to  $\#7(S^2 \times S^2) \#6(S^1 \times S^3)$ , and its fundamental

group presumably can be calculated from its fibration structure provided its monodromies are determined, But now we can easily calculate the fundamental group as well as the other topological invariants from its handlebody picture in Figure 23. One of the reason we decided to study the handlebody structure of the Cacime surface is that, it appears to be the starting point of many other interesting manifolds, for example the construction techniques used in [A3], [A4] and [A5] are driven from the construction of the handlebody of the Cacime surface.

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FIGURE 3.  $\Sigma_2$ FIGURE 4.  $\Sigma_2 \times [0, 1]$ FIGURE 5.  $\Sigma_2 \times S^1$

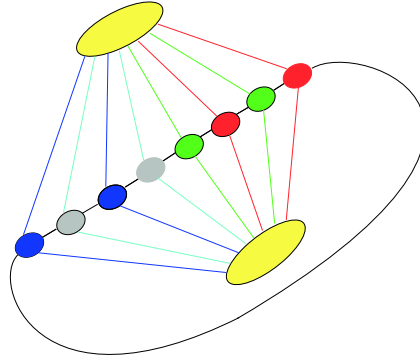


FIGURE 6.  $\Sigma_2 \times S^1$

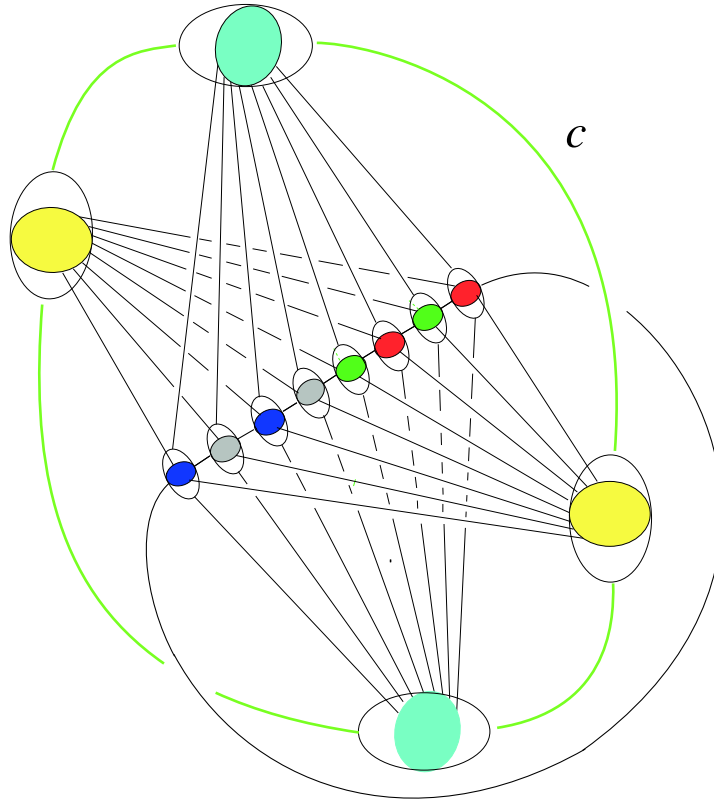


FIGURE 7.  $\Sigma_2 \times T^2$

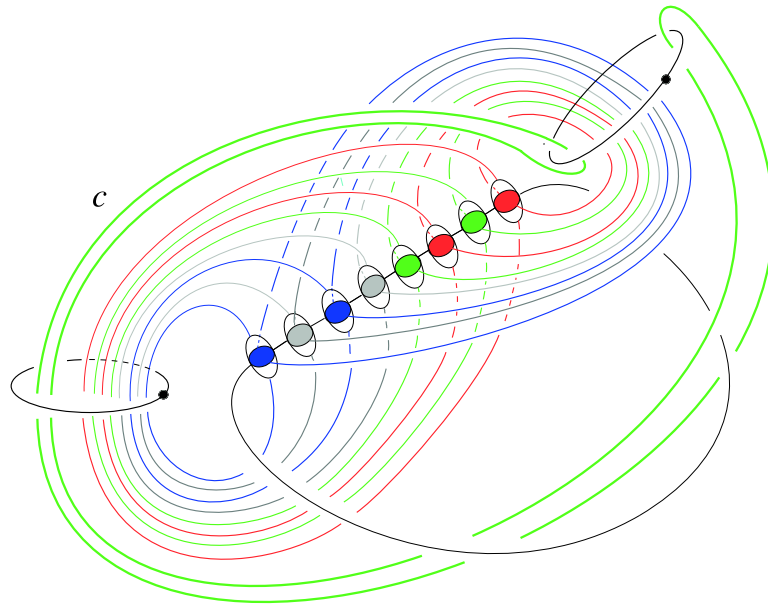
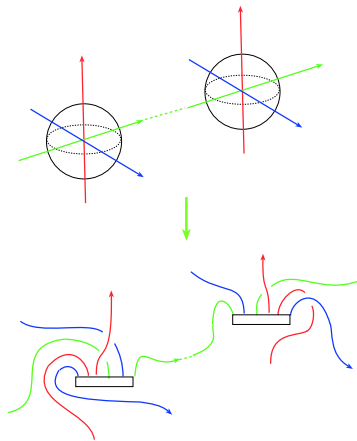
FIGURE 8.  $E_0$ 

FIGURE 9. Local isotopies



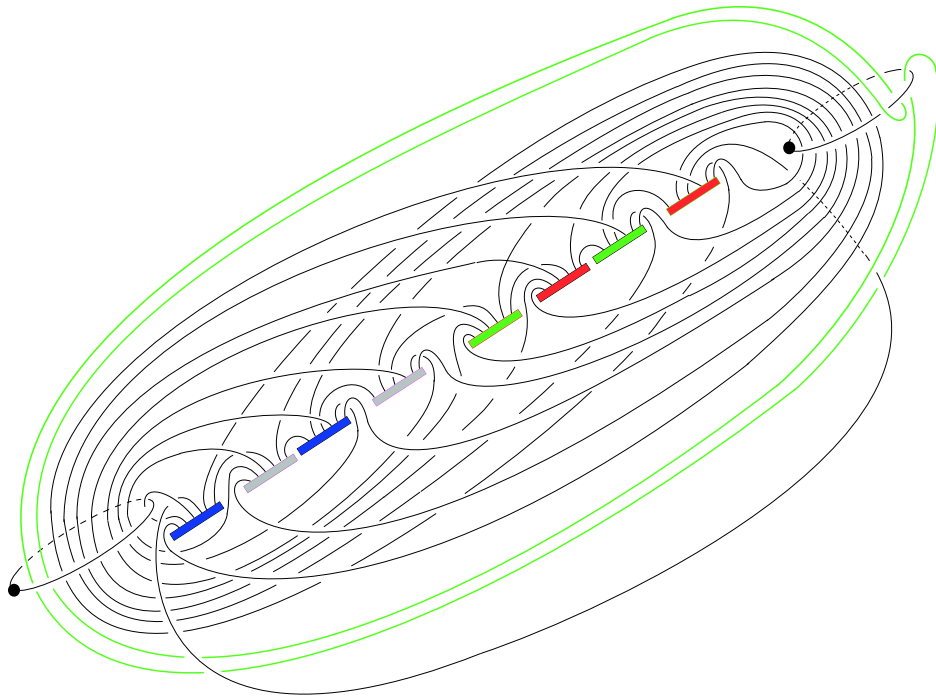


FIGURE 10. Converting 1-handle notation

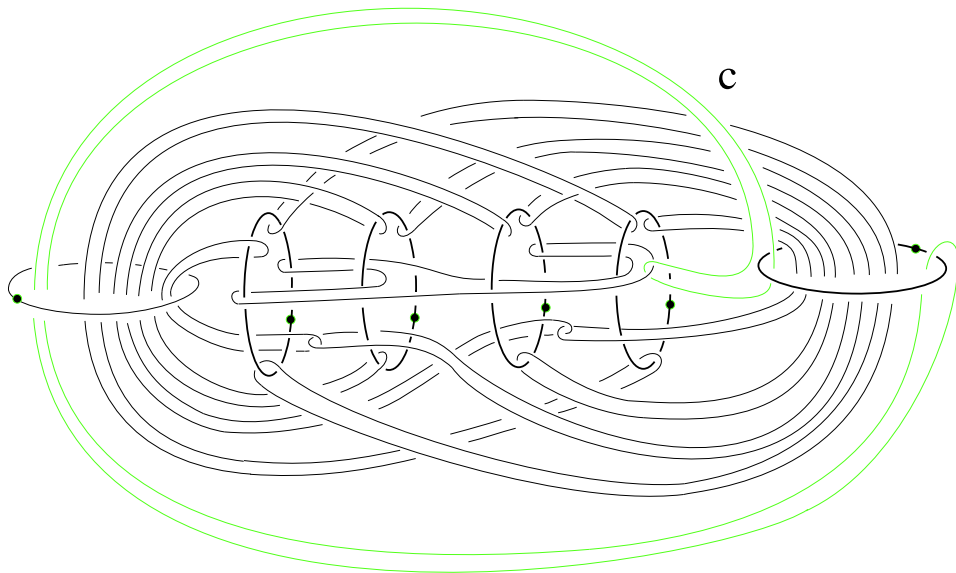


FIGURE 11.  $E_0$

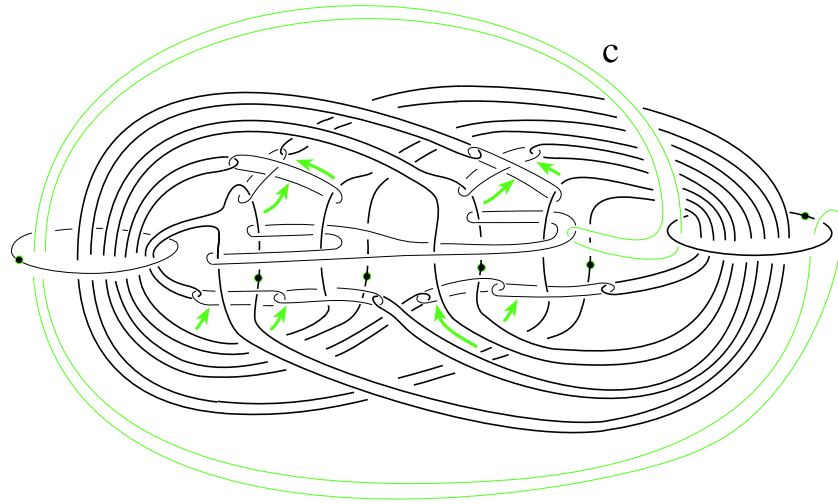


FIGURE 12. Surgering inside of  $E_0$

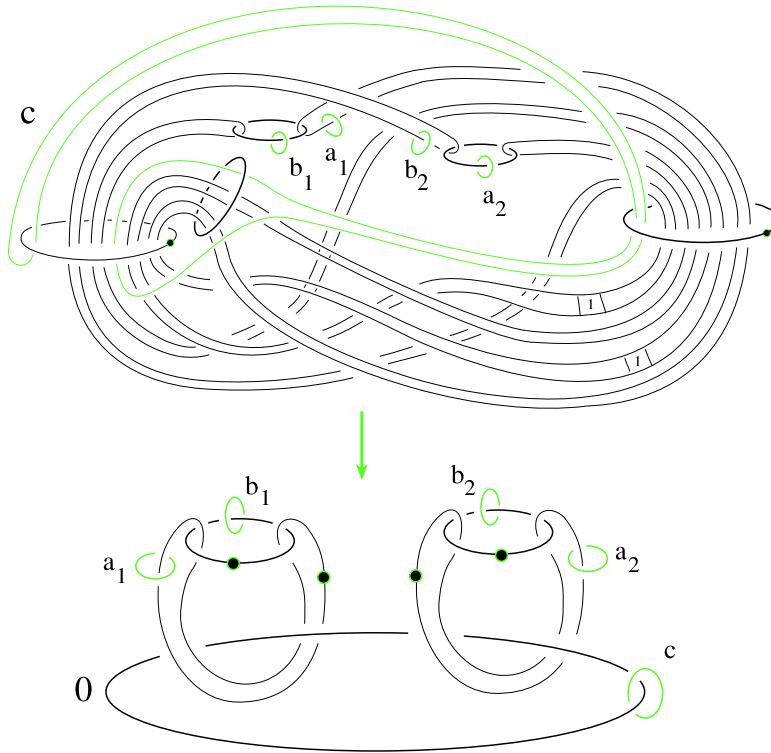


FIGURE 13. Checking  $\partial E_0 \approx \Sigma_2 \times S^1$

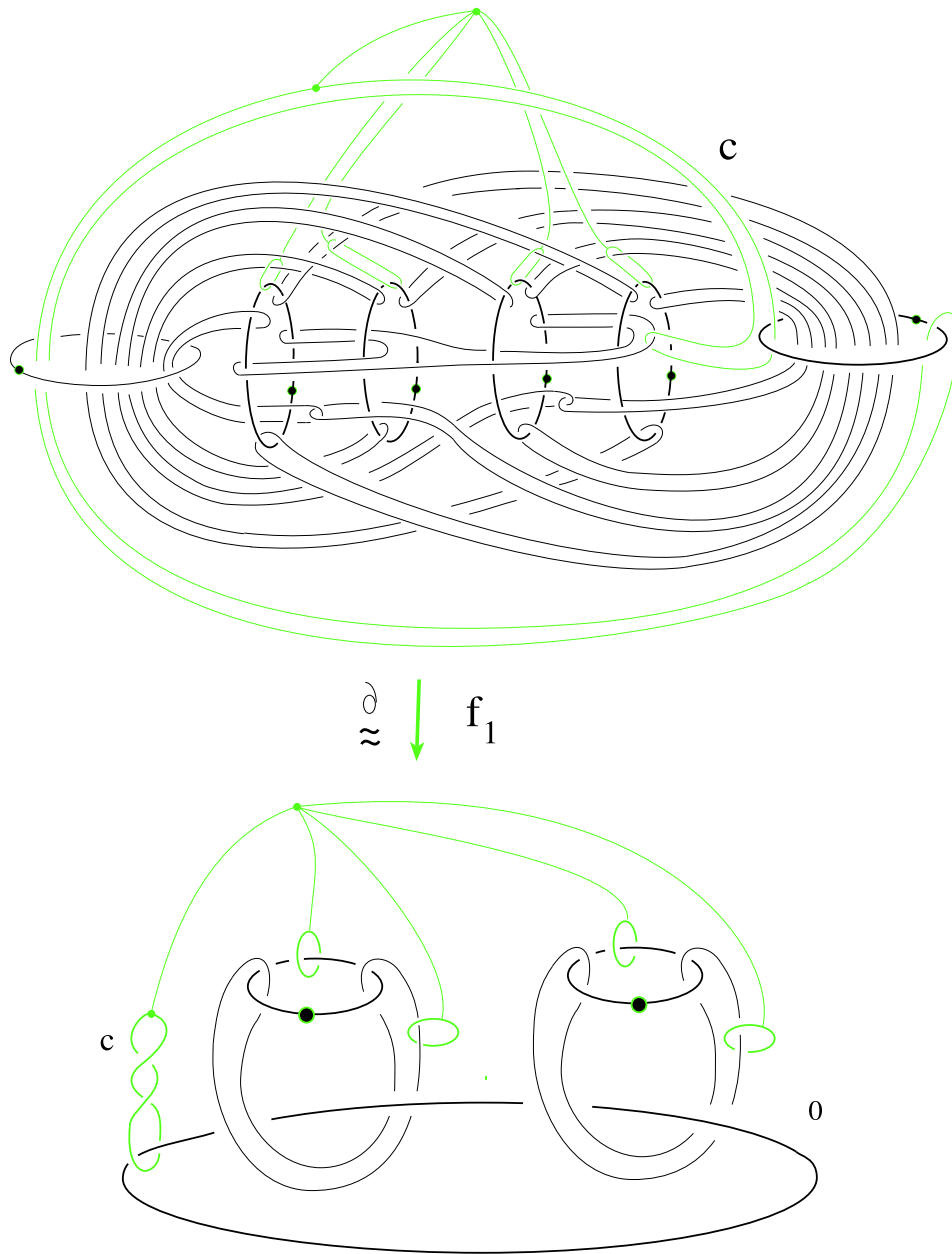
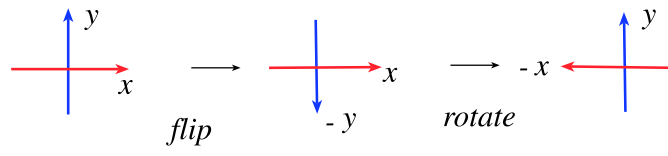
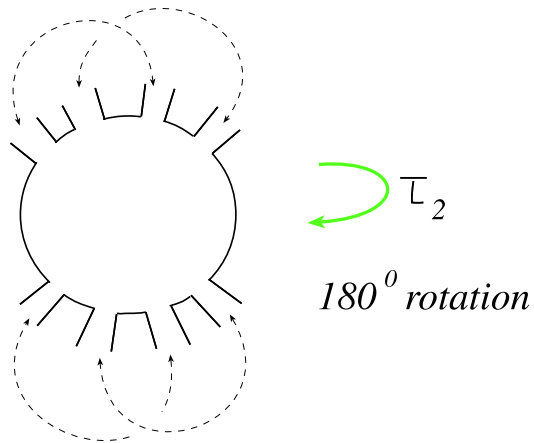
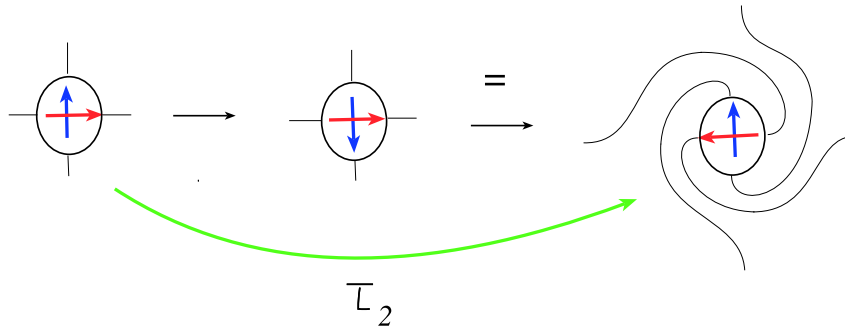


FIGURE 14. Diffeomorphism  $\partial E_0 \approx \Sigma_2 \times S^1$  made concrete

FIGURE 15. Action of  $\tau_2$  on the zero handle of  $\Sigma_2$ FIGURE 16. Describing  $\tau_2 : \Sigma_2 \rightarrow \Sigma_2$

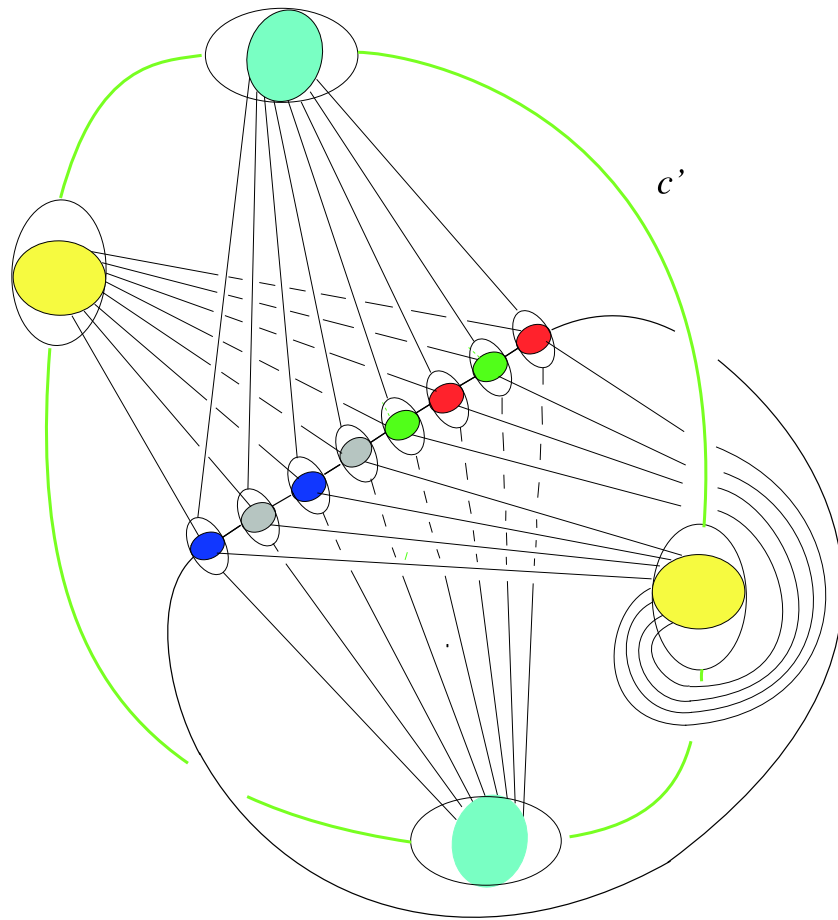


FIGURE 17.  $E'_0$

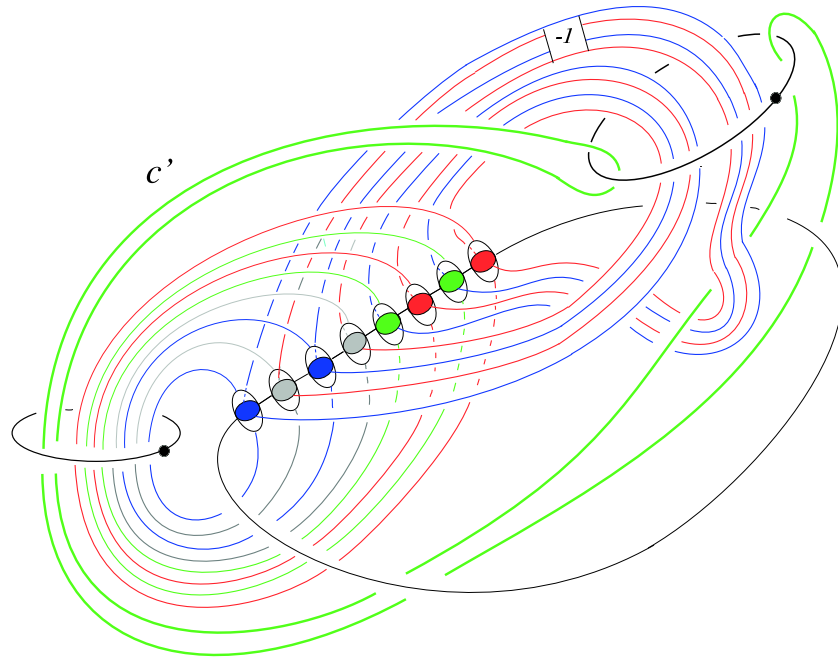
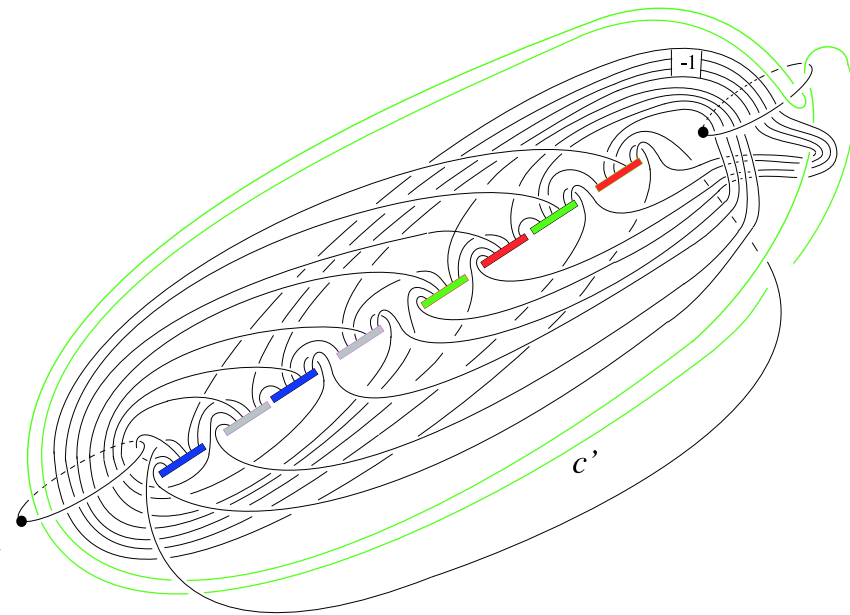
FIGURE 18.  $E'_0$ 

FIGURE 19. Converting 1-handle notation

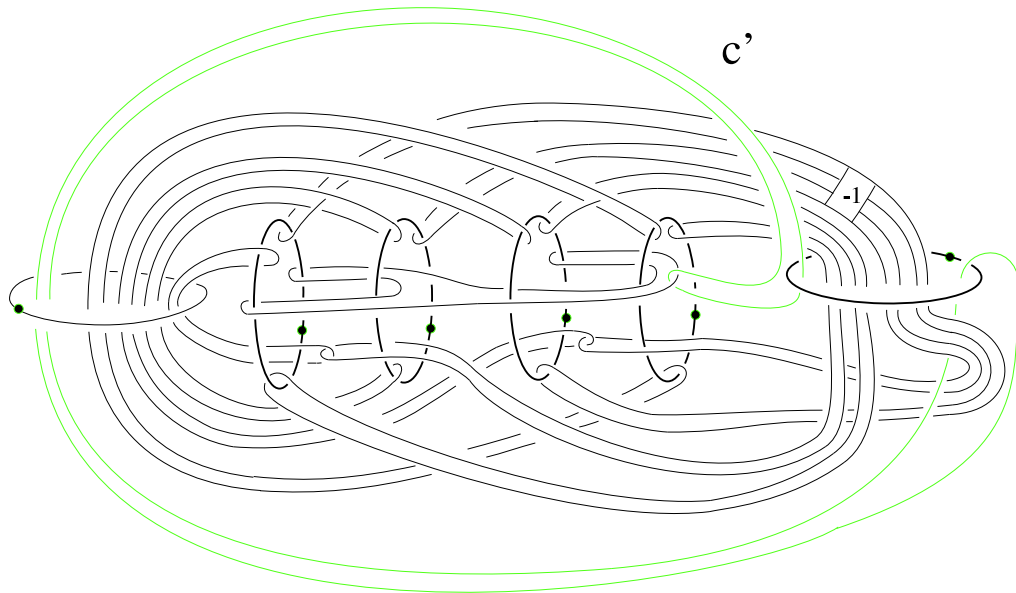


FIGURE 20.  $E'_0$

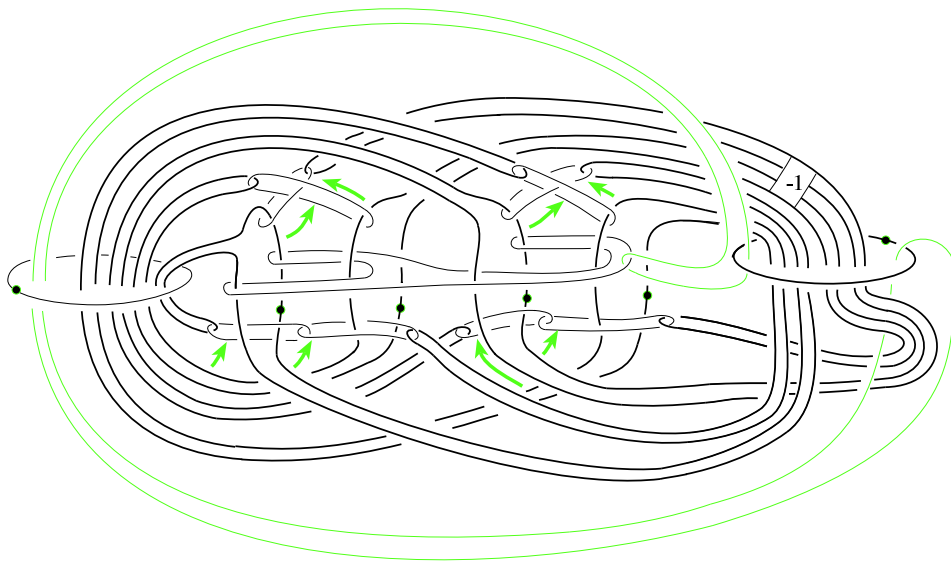


FIGURE 21. Surgering inside of  $E'_0$

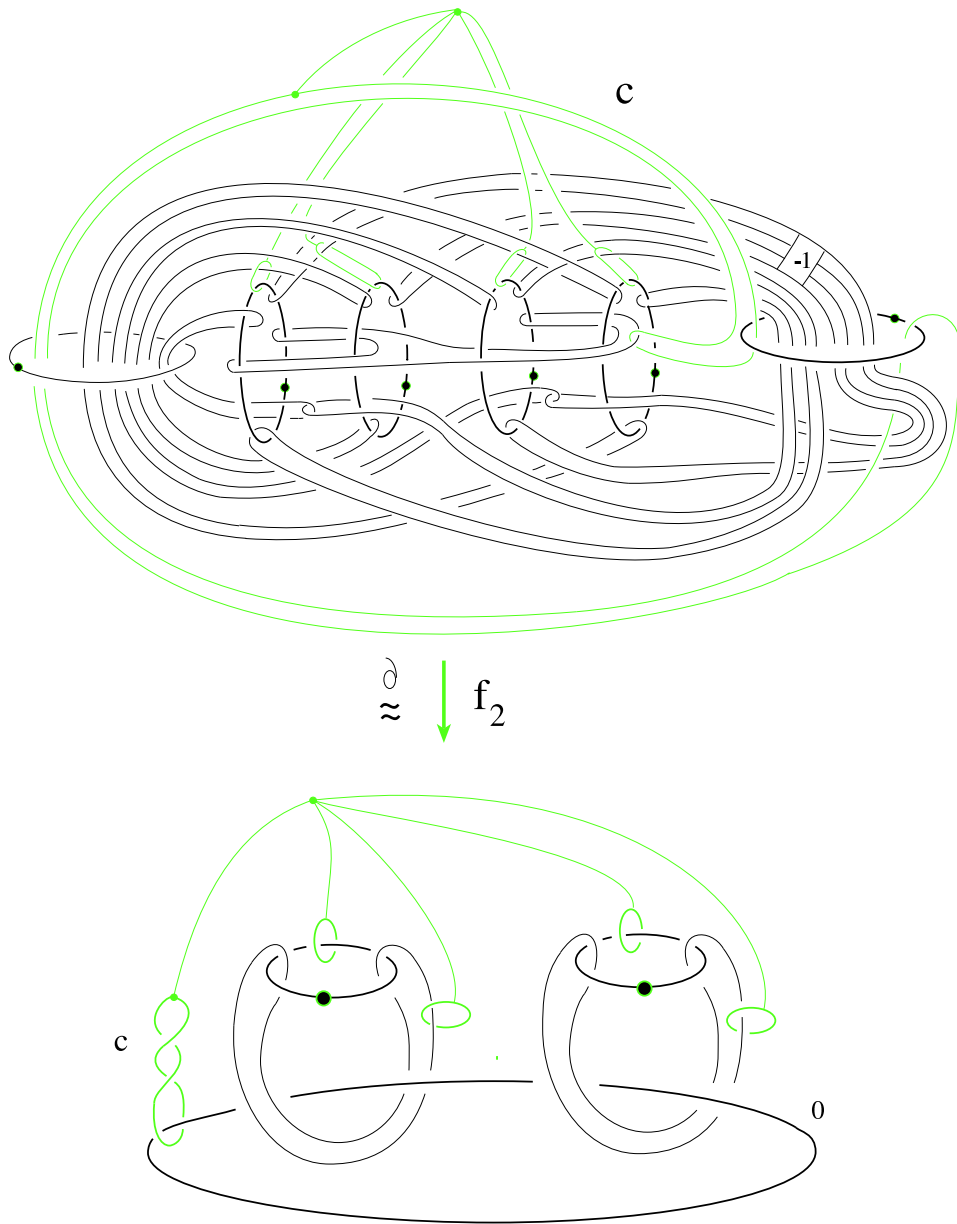


FIGURE 22. Diffeomorphism  $\partial E'_0 \approx \Sigma_2 \times S^1$  made concrete



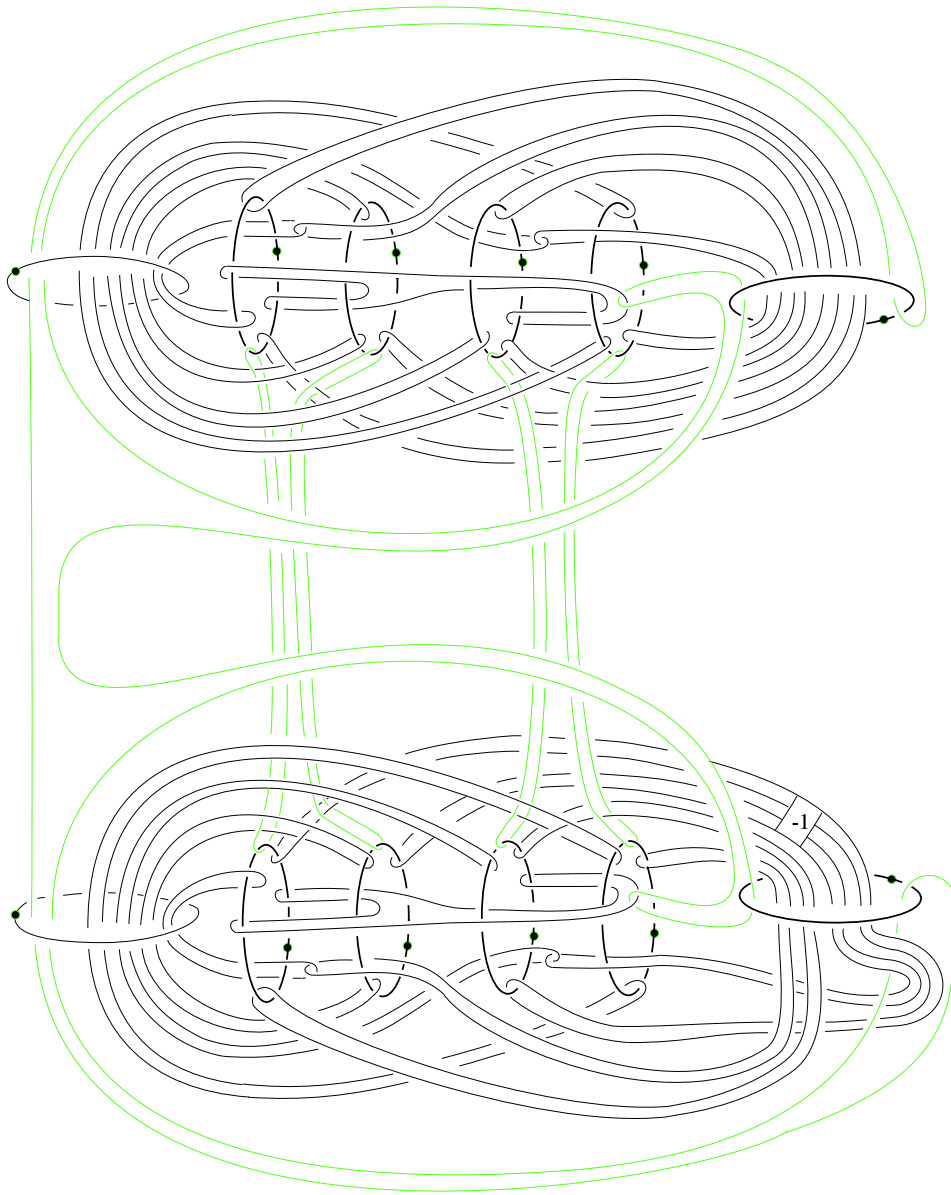


FIGURE 23. The CaCiMe surface M